JETO Rec'd PCT/PTO 604 MAR 2002 June

### IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

International Application No.

PCT/EP00/02117

International Filing Date

10 MARCH 2000

U.S. Serial No.

09/936,737

Deposit Date U.S. Nat'l Phase

17 SEPTEMBER 2001

Priority Date(s) Claimed

18 MARCH 1999

Applicant(s)

STRITTMATTER, Wolfgang, et al

Title: PROTEIN FOR BLOCKING PLATELET ADHESION

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Commissioner for Patents

Box PCT

Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements mailed 6 DECEMBER 2001, attached is a Disk and Paper Copy of the Sequence Listing.

Applicants affirm that the paper copy and disk copy are identical.

Applicants request that the time for responding to this action be extended 1 month to  $\underline{6}$  MARCH 2002. A check for the statutory fee of \$110.00 is enclosed.

The Patent and Trademark Office is authorized to deduct any additional fees from, or credit any overpayments to, counsel's deposit account No. 13-3402, a copy of this paper being attached.

03/12/2002 UEDUVIJE 00000138 09936737

01 F2:115

110.00 OP

Respectfully submitted,

Anthony J. Zelano Reg. No.27,969

Attorney for Applicants

MILLEN, WHITE, ZELANO & BRANIGAN, P.C.

Arlington Courthouse Plaza I

2200 Clarendon Boulevard, Suite 1400

Arlington, Virginia 22201

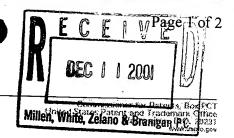
Direct Dial: 703-812-5306

Facsimile: 703-243-6410

Internet Address:zelano@mwzb.com



### United States Patent and Trademark Office



U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO 09/936,737 Wolfgang Strittmatter **MERCK 2299** INTERNATIONAL APPLICATION NO. PCT/EP00/02117

23599

MILLEN, WHITE, ZELANO & BRANIGAN, P.C. 2200 CLARENDON BLVD.

**SUITE 1400** 

ARLINGTON, VA 22201

**CONFIRMATION NO. 8947** 

PRIORITY DATE

371 FORMALITIES LETTER

I.A. FILING DATE

03/10/2000

\*OC000000007162484\*

e Mailed: 12/06/2001

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

PCT MISSING REQ. DVE 2/6/2002

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.



- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

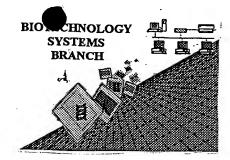
LAMONT M HUNTER

Telephone: (703) 305-3686

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,737	PCT/EP00/02117	MERCK 2299

# RAW SEQUENCE LISTING ERROR REPORT



PE JCTO SEE

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09

09/936,737

Source:

Date Processed by STIC:

10/4/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: <a href="mailto:patin21help@uspto.gov">patin21help@uspto.gov</a> or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: <a href="mailto:patin3help@uspto.gov">patin3help@uspto.gov</a> or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

MN12. WITH 905 OFFICE

AND REPORTED TO SERVICE OF THE PROPERTY OF THE

### ERROR DETECTED SUGGESTED CORRECTION

SERIAL NUMBER: 09/936, 737

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTW

		THE MODELED BY PIO
1	IWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
	2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
-	3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
	4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
	5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
	6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)  Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
	7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped
	8Skipped Sequences' (NEW RULES)	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.  Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence.  <210> sequence id number  <400> sequence id number  000
•	Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
1	0Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
1	Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
12	PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of Patentln version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13	Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide

AMC/MH - Biotechnology Systems Branch - 08/21/2001

PCT09

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/936,737

DATE: 10/04/2001

TIME: 15:36:11

Input Set : A:\ES.txt

Output Set: N:\CRF3\10042001\I936737.raw

**Does Not Comply** Corrected Diskette Needed

3 <110> APPLICANT: Merck Patent GmbH

5 <120> TITLE OF INVENTION: Protein for blocking platelet adhesion

7 <130> FILE REFERENCE: Saratin Sequence

C--> 9 <140> CURRENT APPLICATION NUMBER: US/09/936,737

C--> 10 <141> CURRENT FILING DATE: 2001-09-17

12 <160> NUMBER OF SEQ ID NOS: 12

14 <170> SOFTWARE: PatentIn Ver. 2.1

### ERRORED SEQUENCES

197 <210> SEQ ID NO: 12

198 <211> LENGTH: 27

199 <212> TYPE: DNA

200 <213> ORGANISM: Artificial Sequence

202 <220> FEATURE:

203 <223> OTHER INFORMATION: Description of Artificial Sequence: primer10

205 <400> SEQUENCE: 12

206 gcatgaattc gaagaacgtg aagattg

E--> 212 (4) delete

27

are sold to

sel het page

RAW SEQUENCE LISTING PATENT APPLICATION: US/09/936,737

DATE: 10/23/2001 TIME: 13:41:08

Input Set : A:\PTO.AMC.txt

Output Set: N:\CRF3\10232001\1936737.raw

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40
     72 Val Asn Lys Glu Cys Tyr Tyr Asn Val Val Asp Gly Glu Glu Leu Asp
                                 55
     75 Gln Glu Lys Phe Val Val Asp Glu Asn Phe Thr Glu Asn Tyr Leu Thr
                             70
     78 Asp Cys Glu Gly Lys Asp Ala Gly Asn Ala Gly Thr Gly Asp Glu
     81 Ser Asp Glu Val Asp Glu Asp
                    100
     86 <210> SEQ ID NO: 3
     87 <211> LENGTH: 23
     88 <212> TYPE: DNA
     89 <213> ORGANISM: Artificial Sequence
     91 <220> FEATURE:
     92 <223> OTHER INFORMATION: Description of Artificial Sequence:degenerate
    95 <400> SEQUENCE: 3
                                   -> see item 9 on Eva Summary Sheet
W--> 96 gargarmghg argaytgttg gac
    99 <210> SEQ ID NO: 4
    100 <211> LENGTH: 23
    101 <212> TYPE: DNA
    102 <213> ORGANISM: Artificial Sequence
    104 <220> FEATURE:
    105 <223> OTHER INFORMATION: Description of Artificial Sequence:degenerate
    106 primer02
108 <400> SEQUENCE: 4 ) Xem 9
   109 gargarmgng argaytgctg gac
    112 <210> SEO ID NO: 5
                                                                          23
    113 <211> LENGTH: 24
    114 <212> TYPE: DNA
    115 <213> ORGANISM: Artificial Sequence
    117 <220> FEATURE:
    118 <223> OTHER INFORMATION: Description of Artificial Sequence: primer03
    120 <400> SEQUENCE: 5
   121 gcatcgatgg aagaacgtga agac
   124 <210> SEQ ID NO: 6
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   125 <211> LENGTH: 23
   126 <212> TYPE: DNA
   127 <213> ORGANISM: Artificial Sequence
   129 <220> FEATURE:
   130 <223> OTHER INFORMATION: Description of Artificial Sequence: primer04
   132 <400> SEQUENCE: 6
   133 tagcgctttt gacgtcgtcg tca
   136 <210> SEQ ID NO: 7
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   137 <211> LENGTH: 26
   138 <212> TYPE: DNA
   139 <213> ORGANISM: Artificial Sequence
   141 <220> FEATURE:
   142 <223> OTHER INFORMATION: Description of Artificial Sequence: primer05
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#### VERIFICATION SUMMARY .

PATENT APPLICATION: US/09/936,737

DATE: 10/04/2001 TIME: 15:36:12

Input Set : A:\ES.txt

Output Set: N:\CRF3\10042001\I936737.raw

L:9 M:270 C: Current Application Number differs, Replaced Application Number

L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:96 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:3

L:96 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:3

L:96 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3

L:109 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:109 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4

L:109 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4

L:212 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:27 SEQ:12